

Proof of New Customer Identity & Address

FULL NAME OF APPLICANT:

Under New Zealand's *Anti-Money Laundering and Countering Financing of Terrorism Act 2009*, anyone investing in financial products directly (individually or jointly), as a trustee of a trust, a partner in a partnership, or a director on behalf of a company, must provide proof of identification and residential address.

This form is to assist you to select and complete the required documentation. Do this by ticking your choices from the options available below and returning this form together with the documents selected for both 1. Proof of Identification **AND** 2. Proof of Residential Address. The Proof of Identification documents must be certified in the manner set out.

Note: An Overseas Person is neither a New Zealand citizen nor ordinarily resident in New Zealand. If you meet this definition, please note any special information requirements below. Contact us if you are unsure.

1. Proof of Identification

You have two options, *either A or B*

A ONE document required

A **certified copy** of ONE of **either**:

- Photo page of a current PASSPORT
or
 A current NEW ZEALAND FIREARMS LICENCE
or
 A current photo NATIONAL IDENTITY CARD
(Overseas Persons only showing name and date of birth)

B TWO documents required

A **certified copy** of ONE of **either**:

- A current DRIVER'S LICENCE or
 A current NEW ZEALAND 18+ Card
AND
A **certified copy** of ONE of these documents:
 Birth Certificate
 Certificate of New Zealand Citizenship
 Bank statement (NZ only)
 Government agency document (NZ only)

Certification of Proof of Identification

Copies of your identification document(s) need to be **certified within 3 months** of when we receive it. You must present the original(s) to the certifying person. Please also photocopy the document's expiry date.

For New Zealanders, certification can be done by any one of the following Trusted Persons who must NOT be a relative or living at the same address:

Lawyer	Chartered Accountant	Notary Public	Justice of the Peace	Police Officer
Registered Teacher	Registered Medical Doctor	Court Registrar	Minister of Religion	NZ Honorary Consul

For Overseas Persons, certification must be by a person authorised by law in their country of residence to take statutory declarations.

Certification requires the Trusted Person to write clearly on the copy the following declaration –

'I hereby certify that this is a true copy of the original document which I have sighted and I am satisfied that it verifies the identity of the named individual'. The Trusted Person should write their name and designation, sign it and date it.

2. Proof of Residential Address

A copy of ONE of the following documents which must clearly state your name plus your residential address (PO Box not allowed):

- Utility Bill Bank Statement Government Agency Document (e.g. council rates, electoral notice, tax summary)

Note: Proof of Residential Address does NOT need to be certified, however it must be dated within 6 months of when we receive it.

3. Politically Exposed Person

This is an individual who holds a 'Prominent Public Function' e.g. head of a country, government ministers, senior politician, senior judge, governor of a central bank, ambassador, high commissioner, high-ranking member of armed forces or senior position of state enterprises.

If you, or any of the persons listed above, or any immediate family member (including spouse, partner, child, child's spouse/partner or parent), hold or have held in the last 12 months a 'prominent public function' in any country other than New Zealand, please provide details of the function held and the country:

If you have any questions, please contact Customer Services Manager Sean Roberts on +64 6 370 6368 or at sroberts@forestenterprises.co.nz



5 Papawai Place, Masterton 5810 | PO Box 128, Masterton 5840, New Zealand
Office +64 6 370 6360 | Fax +64 6 370 6369 | www.forestenterprises.co.nz

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